

# Layered/Spinel Heterostructured Li-Rich Cathode Materials without Oxygen Loss in Lithium-ion Battery

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## Research Article

**Received:** 29-May-2024, Manuscript No. JOMS-24-137603; **Editor assigned:** 03-Jun-2024, PreQC No. JOMS-24-137603 (PQ); **Reviewed:** 17-Jun-2024, QC No. JOMS-24-137603; **Revised:** 24-Jun-2024, Manuscript No. JOMS-24-137603 (R); **Published:** 01-Jul-2024, DOI: 10.4172/2321-6212.12.2.003

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**Citation:** Xu S, et al. Layered/Spinel Heterostructured Li-Rich Cathode Materials without Oxygen Loss in Lithium-ion Battery. RRJ Mater Sci. 2024;12:003.

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## ABSTRACT

Abstract Lithium-excess manganese layered oxides, which are commonly described by the chemical formula  $x\text{LiMnO}_3 \cdot (1-x)\text{LiMO}_2$  ( $M=\text{Co}, \text{Ni}, \text{Mn}, \text{etc.}$ ), are of great importance as cathode materials for rechargeable lithium batteries. A mechanism involving simultaneous Li and O removal is often proposed. Oxygen loss and  $\text{MnO}_2$  formation appear when first charging a loss a voltage plateau at 4.5 V vs  $\text{Li/Li}^+$ , which is bottleneck of industrialization for the resulting security problems. In our recent findings, layered/spinel hetero structured Li-rich material  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  consist of  $\text{Li}_2\text{MnO}_3$ ,  $\text{LiNiMnO}_2$  and  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  without oxygen loss was desired. After assembling it into lithium-ion battery, CV curves have no oxygen evolution peak and no oxygen appears *in situ* Differential Electrochemical Mass Spectrometry (DEMS). According to the first principle,  $\text{Li}^+$  ions in  $\text{Li}_2\text{MnO}_3$  de-intercalate then  $\text{Ni}^{2+}$  ions of  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  migrate into the sites when first charging, which avoids the oxygen loss from the collapse of  $\text{Li}_2\text{MnO}_3$ . The exist of spinel phase make the phase transition process of circulation stable, which contributed to the high cycling performance for lithium-ion battery (300 and 220  $\text{mAh g}^{-1}$  after 200<sup>th</sup> cycles at 0.1 and 0.5C-rate (1C=250  $\text{mA g}^{-1}$ ).

**Keywords:** Li-rich; Cathode materials; Oxygen loss high capacity; Lithium-ion batteries.

## INTRODUCTION

As the new energy industry booms, Lithium-Ion Batteries (LIBs) with higher energy and power densities as the leading role of battery industry is applied to 3C, energy storage and many other fields such as Hybrid Electric Vehicles (HEVs) and Electric Vehicles (EVs).

Layered structure Li-rich materials  $x\text{Li}_2\text{MnO}_3 (1-x) \text{LiMO}_2$  ( $M = \text{Mn, Ni, and Co}$ ) (LMR) are more and more attractive to researchers because of its high theoretical specific capacity ( $350 \text{ mAh g}^{-1}$ ) and high discharge voltage (4.5 V).

However, the materials also suffer from some disadvantages with initially large capacity loss and poor rate cycling performance [1-7]. As reporters' views, oxygen loss is the main reason for its poor stability [8, 9]. It is generally believed that the charging process of the materials is divided into two stages. When the charge voltage vs  $\text{Li}^+/\text{Li}^+$  below to 4.5 V, the capacity is mainly provided by  $\text{LiMO}_2$  component. After charged to 4.5 V, there is a long plateau accompanied with a net loss of  $\text{Li}_2\text{O}$  from the  $\text{Li}_2\text{MnO}_3$  component [10]. The net loss of  $\text{Li}_2\text{O}$  leads to a huge irreversible capacity loss in the initial cycle [10,11]. Meanwhile, some oxygen extracted from the lattice results in the transition metal ions migration leading to phase transformation to spinel structure [10,12-17]. The cyclic stability of the material is reduced rapidly due to the structural failure, which is the main factor in its inability to enter the market.

Layered/Spinel Hetero-structured (LSH) Li-rich materials is a new type of material that relieves voltage attenuation of LMR proposed by Su etc. [18] for the first time in 2013, which was improved successively by researchers in recent years [19-29]. Spinel structure was brought in to lower the initial irreversible capacity and maintain the phase transition stable. Spinel coating is preferred by researchers attributed to the consistency with phase transition [30-37]. So far, the coating methods include from simple sol-gel, co-precipitation to complicated as Atomic Layer Deposition (ALD), Thermal Evaporation (TE) Pulsed Laser Deposition (PLD) and reactive magnetron sputtering (RMS) [37-49]. These methods are all adding a coating process after preparing LMR, some of them are difficult to industrialize. What's more, it did not fundamentally solve the problem of oxygen loss.

This study presents a concept to obtain LSH without oxygen loss. Firstly, hydrothermal method was used to obtain the uniform-sized microspheres of  $\text{Ni}_{0.2}\text{Mn}_{0.6}\text{CO}_3$ . With annealing at the appropriate temperature, spinel structure material  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  was formed with  $\text{Li}_2\text{O}$ . It is worth mentioning that the nickel ions in spinel phase play a fatal role in the process without oxygen loss. In the process of charging, lithium ions of Li-Mn layer off from  $\text{Li}_2\text{MnO}_3$ , nickel ions from spinel phase move into the above nickel vacancy, directly transformed  $\text{Li}_2\text{MnO}_3$  into  $\text{LiMO}_2$ , which not only has no collapse of  $\text{Li}_2\text{MnO}_3$  structure and oxygen loss, but also enhance the capacity attributed to the high theoretical specific capacity of  $\text{LiMO}_2$  in order to explain the reaction mechanism of the material and its corresponding changes of crystal structure, composition and electrochemical process more specifically, various properties involving morphological, structural, and electrochemical performances of as-prepared material  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  (LNMO) were evaluated and proved. The first principle was applied to the diffusion process of ions during charging.

## MATERIALS and METHODS

### Experimental Details

$\text{NiCO}_3$  and  $\text{CoCO}_3$  were mixed and ground at a ratio of 1:3, urea is used to regulate the pH value for hydrothermal reaction at  $100^\circ\text{C}$  for 24 hrs with ethanol as the solvent.  $\text{Ni}_{0.2}\text{Co}_{0.6}\text{CO}_3$  was obtained after dehydration at  $120^\circ\text{C}$ ,  $\text{Ni}_{0.2}\text{Co}_{0.6}\text{O}_2$  was obtained after annealing at  $450^\circ\text{C}$  for 5 hrs.  $\text{Li}_2\text{CO}_3$  and  $\text{Ni}_{0.2}\text{Co}_{0.6}\text{O}_2$  were mixed and ground at a ratio of 3:1,  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Co}_{0.6}\text{O}_2$  was obtained after annealing at  $850^\circ\text{C}$  for 12 hrs.

The structure and crystallinity of the samples were characterized using an X-ray diffractometer (XRD; Rigaku X-ray diffractometer) with  $\text{Cu K}\alpha$  radiation source ( $\lambda=0.1506 \text{ nm}$ ) under a voltage of 40 kV and a current of 30 mA. The morphology and elementary composition of the samples were observed by scanning electron microscopy (SEM; JEOL JSM, 65 10 V), transmission electron microscopy (TEM; JEM-2100, 200kV) and Energy-Dispersive Spectrometer (EDS). The chemical states of LNMO during the circulation were analyzed by X-ray photoelectron spectroscopy (XPS; Thermofisher ESCALAB 250Xi, 15kV, 10.8mA). Here, we demonstrate directly that there is no oxygen produced during charging to 4.8 V, by *in situ* differential electrochemical mass spectrometry (DEMS; HIDEN HPR20, 70 eV, 900 V).

A mixture of 80% wt  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$ , 10% wt. super p, and 10% wt. Polyvinylidene Fluoride (PVDF) dissolved in 1-methyl-2-pyrrolidone (NMP) was stirred to form a homogeneous slurry. The slurry was spread on an Al foil coated carbon to form an electrode sheet. The sheet was dried at  $120^\circ\text{C}$  for 10 hrs in vacuum oven. Half-cells were assembled in an Ar-filled glove-box with 1 mol/L  $\text{LiPF}_6/\text{EC}+\text{DMC}$  (1:1 in volume) as the electrolyte and metallic lithium foil as the counter electrode.

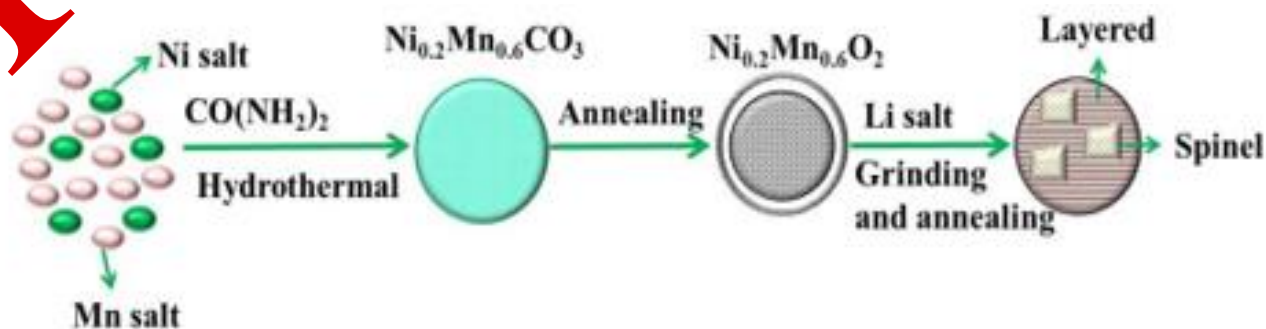
The Cyclic Voltammetry (CV) was measured by an electrochemical workstation (CHI660) between 2 and 4.8 V at a scan rate of  $0.3 \text{ mV s}^{-1}$ . The Electrochemical Impedance Spectroscopy (EIS) measurements were performed with CHI760E over the frequency range of  $0.01\sim 100 \text{ kHz}$  with an amplitude of 5 mV. Galvanostatic charge/discharge cycling for lithium-ion batteries was carried out in the range of 2.0~4.8 V at 0.1 and 0.5 C-rate ( $1 \text{ C}=250 \text{ mA g}^{-1}$ ). The densities used for rate capability for lithium-ion batteries were from 0.1 to 10 C, and for solid-state lithium batteries were from  $25 \text{ mA g}^{-1}$  to  $2500 \text{ mA g}^{-1}$ .

## RESULTS AND DISCUSSION

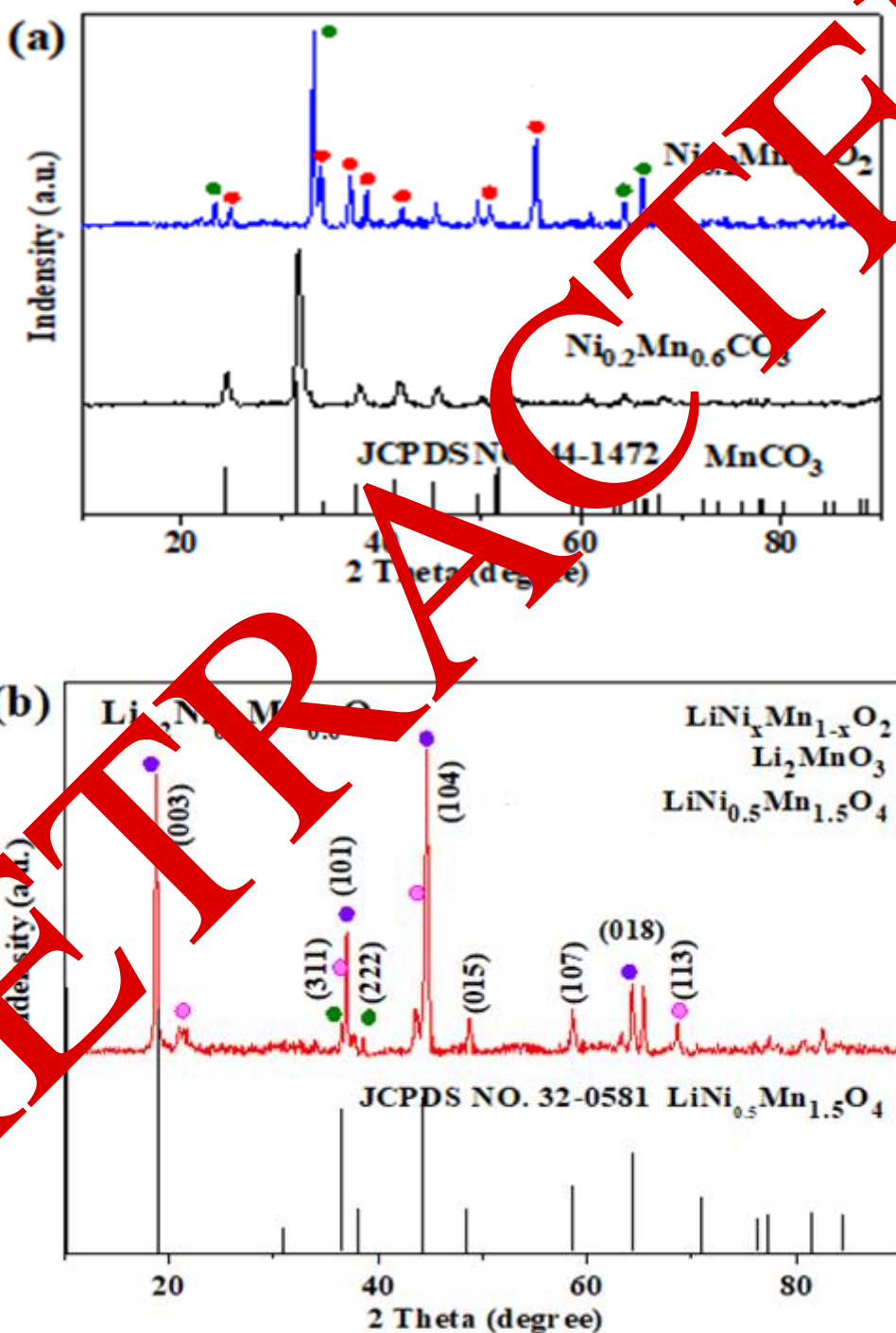
### Material characterization

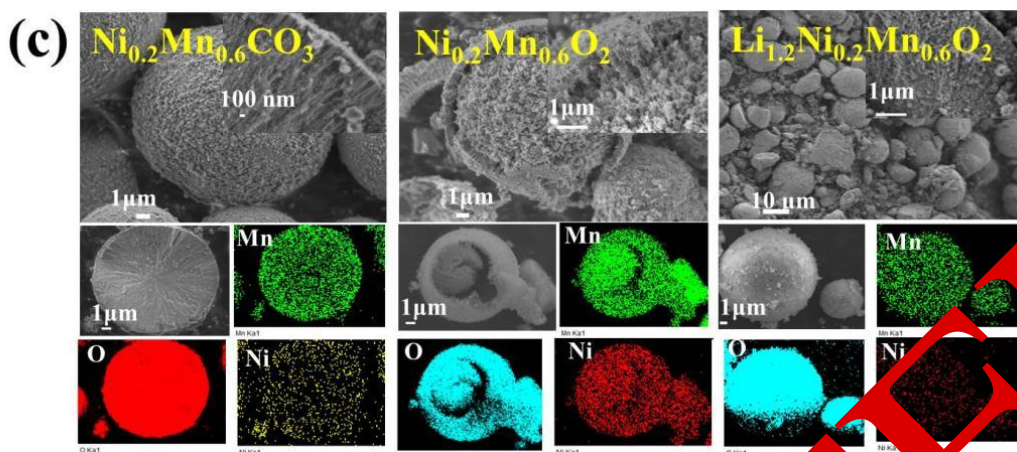
The synthesis of LNMO was achieved by a two-step procedure divided into hydrothermal and annealing methods shown in Scheme 1. Figure 2 (a, b) are the X-ray diffraction patterns of the precursor  $\text{Ni}_{0.2}\text{Mn}_{0.6}\text{CO}_3$ ,  $\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  and product  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$ . The precursor before annealing is  $\text{Ni}_{0.2}\text{Mn}_{0.6}\text{CO}_3$ , whose diffraction peaks is consisting with  $\text{MnCO}_3$  ( $a=b=4.790 \text{ \AA}$ ,  $c=15.694 \text{ \AA}$ , space group  $R\bar{3}c$  (267), JCPDS NO.14-1472). The precursor after annealing is consist of  $\text{NiMnO}_3$  ( $a=b=4.890 \text{ \AA}$ ,  $c=13.700 \text{ \AA}$ , space group  $R\bar{3}$  (148), JCPDS NO.48-1330) and  $\text{Mn}_2\text{O}_3$  ( $a=9.416 \text{ \AA}$ ,  $b=9.424 \text{ \AA}$ ,  $c=9.405 \text{ \AA}$ , space group  $Pcab$  (51), JCPDS NO.24-0508). The characteristic diffraction peaks of layered Li-rich materials can be observed clearly in Figure 2b and are well indexed to the  $\alpha\text{-NaFeO}_2$  layered structure with  $R\bar{3}m$  symmetry except for weak superlattice reflections around  $20\text{-}25^\circ$  corresponding to a  $\text{Li}_2\text{MnO}_3$  component with monoclinic structure (space group  $C/2m$ ). More importantly, it presents the characteristic diffraction peaks at  $36^\circ$  and  $38^\circ$  respectively represent the lattice plane (311) and (222) of spinel structure  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$ . Know then, the sample is composed of three phases  $\text{LiMnO}_2$ ,  $\text{Li}_2\text{MnO}_3$  and  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  accurately. SEM images of precursor  $\text{Ni}_{0.2}\text{Mn}_{0.6}\text{CO}_3$ ,  $\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  and product  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  are present in Figure 2c. The diagram shows the  $\text{Ni}_{0.2}\text{Mn}_{0.6}\text{CO}_3$  obtained by hydrothermal method as microspheres, and core-shell structure forms after early sintering due to the loss of  $\text{CO}_2$ . The product restores the microsphere, from whose elemental mapping the distribution of elements of Ni, Mn and O is shown, and there is no obvious difference of phase.

Figure 1. Schematic illustration on the formation process of rich-Li LNMO



**Figure 2.** (a, b) XRD of precursor  $\text{Ni}_{0.2}\text{Mn}_{0.6}\text{CO}_3$ ,  $\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  and product  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$ ; (c) SEM images of above materials. Note: (a) ● R-3  $\text{NiMnO}_3$ ; ● Pcab  $\text{Mn}_2\text{O}_3$ . (b) (●) R-3m, (●) C2/C.

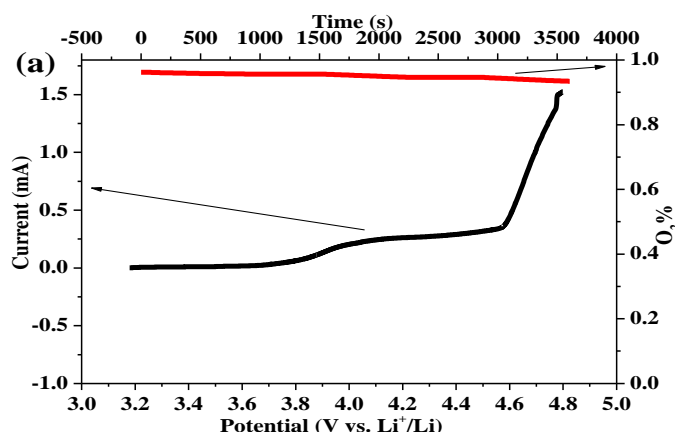




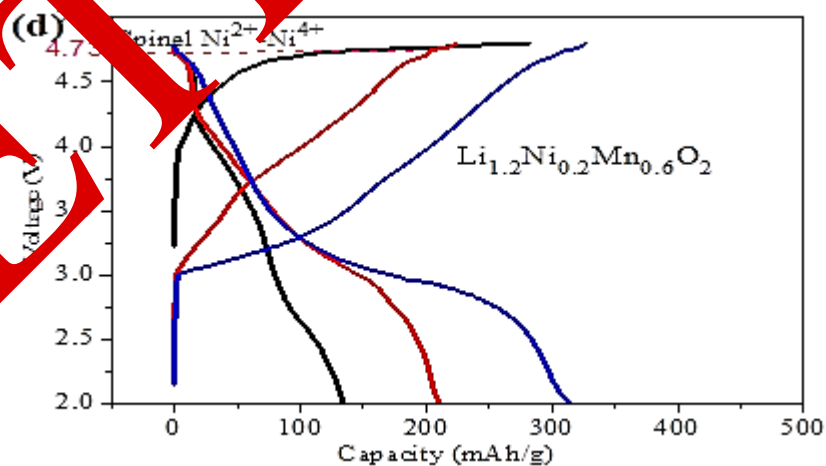
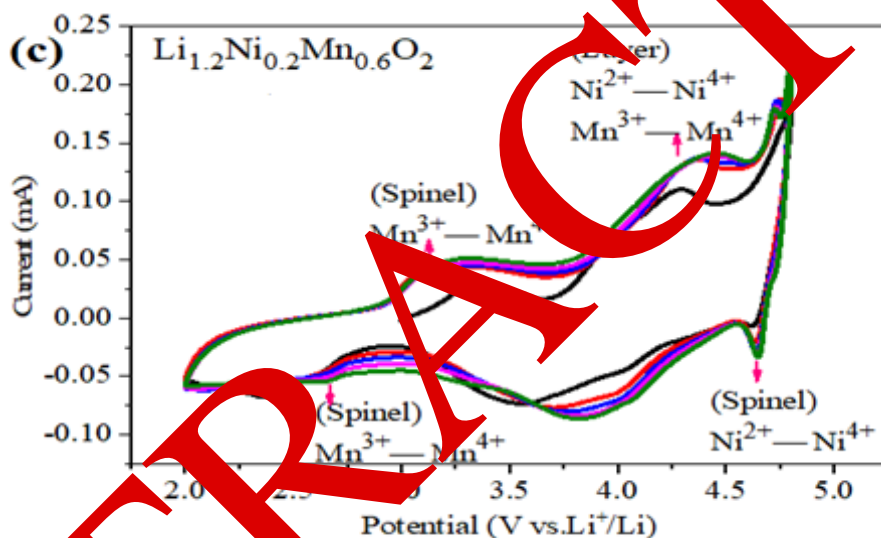
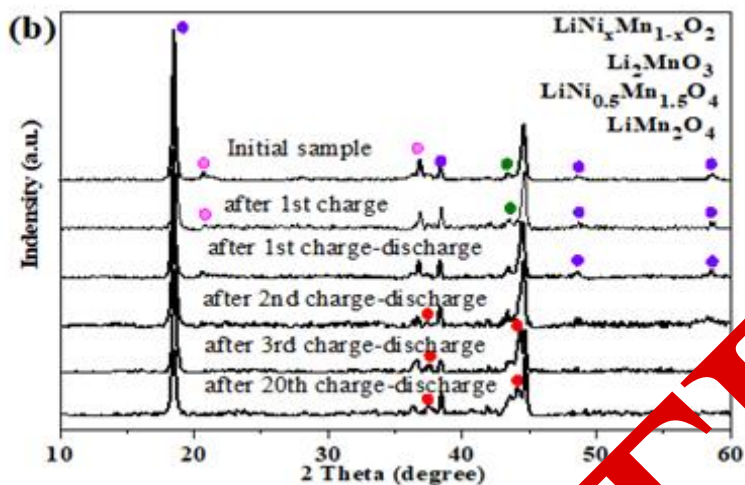
### The phase transition in the circulation

The most important result of this work shows in Figure 3a. LNMO was assembled into the cell as the cathode material and tested *in-situ* DEMS. From the open circuit voltage 3.1 V to the charging voltage 4.8 V, there is no oxygen produced at all, which is an essential difference from the traditional LMR [41]. Furthermore, the oxygen precipitation peaks of 4.5 V disappear in Figure 3a and 2c, different from all the CV curves of traditional LMR. This means that the traditional phase transition mechanism has changed in this material LNMO. The structure of the material has changed dramatically too in Figure 3b. As the circulation progresses, it can be seen that the  $\text{Li}_2\text{MnO}_3$  phase with the characteristic diffraction peak at about  $21^\circ$  is gradually disappearing and the spinel phase  $\text{LiMn}_2\text{O}_4$  appears gradually, which indicates that Ni ions in  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  move off. The corresponding situation occurs in Figure 3d, the voltage plateau represented for the migration of Ni ions at 4.73 V is gradually getting shorter, which is completely disappear after around 10 cycles. Compared the REDOX peak of Figure 3c with the voltage platform of Figure 3d, the platform of layered compound  $\text{LiMO}_2$  becomes much more obvious in the circulation of real cell. This also corresponds to the phenomenon that the discharge capacity is higher and higher because the theoretical specific capacity ( $237 \text{ mAh g}^{-1}$ ) of layered compounds is higher than that of the spinel phase ( $140 \text{ mAh g}^{-1}$ ).

**Figure 3.** (a) *In-situ* DEMS of LNMO cell between 3.1 to 4.8 V at the scanning speed of  $0.5 \text{ mV s}^{-1}$ . (b) *Ex-situ* XRD of LNMO in the circulation. (c) CV curves of LNMO between 2-4.8 V at the scanning speed of  $0.3 \text{ mV s}^{-1}$ . (d) the typical charge and discharge curves of LNMO. Note: (b) (•) R-3m, (◐) C2/C, (◑) Fd-3m, Fddd(◒). (c) 1<sup>st</sup>(—), 2<sup>nd</sup>(—), 3<sup>rd</sup>(—), 4<sup>th</sup>(—), 5<sup>th</sup>(—). (d) 1<sup>st</sup>(—), 10<sup>th</sup>(—), 50<sup>th</sup>(—)

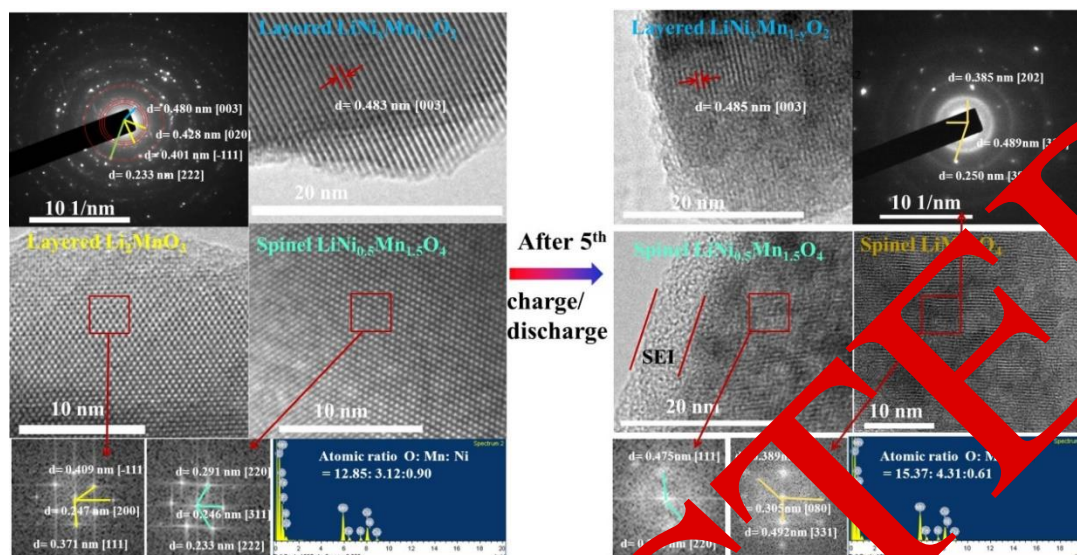






The above conclusions are further confirmed in Figure 3, which shows the HRTEM, SAED and EDS analysis of LNMO before and after 5 cycles. In the initial state, the material consists of three phases shown in SAED, lattice plane for  $\text{LiMO}_2$ , lattice plane for  $\text{Li}_2\text{MnO}_3$  and lattice plane for  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$ . EDS analysis corresponding to  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  shows the auto ratio O: Mn: Ni is 12.85: 3.12: 0.9, which is similar to the theoretical ratio 4:1.5:0.5. While after 5 cycles,  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  and  $\text{LiMO}_2$  still exist except for  $\text{Li}_2\text{MnO}_3$ . And in contrast to the XRD result,  $\text{LiMn}_2\text{O}_4$  also appears. There is a layer of amorphous phase on the edge of the crystalline phase, which is considered as the SEI film.

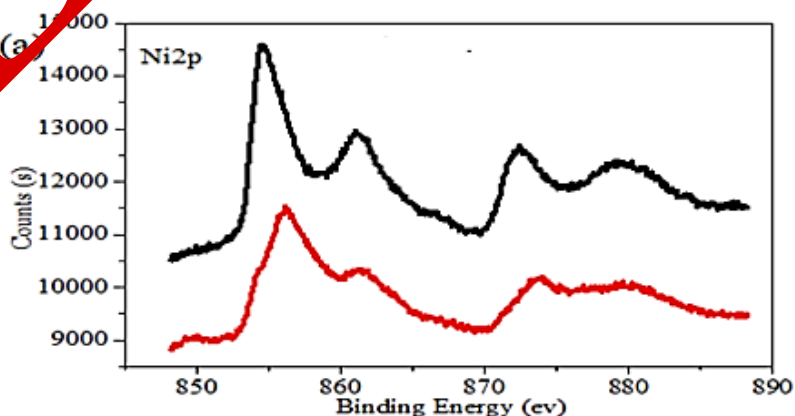
Figure 4. The HRTEM, SAED and EDS analysis of LNMO before and after 5 cycles.

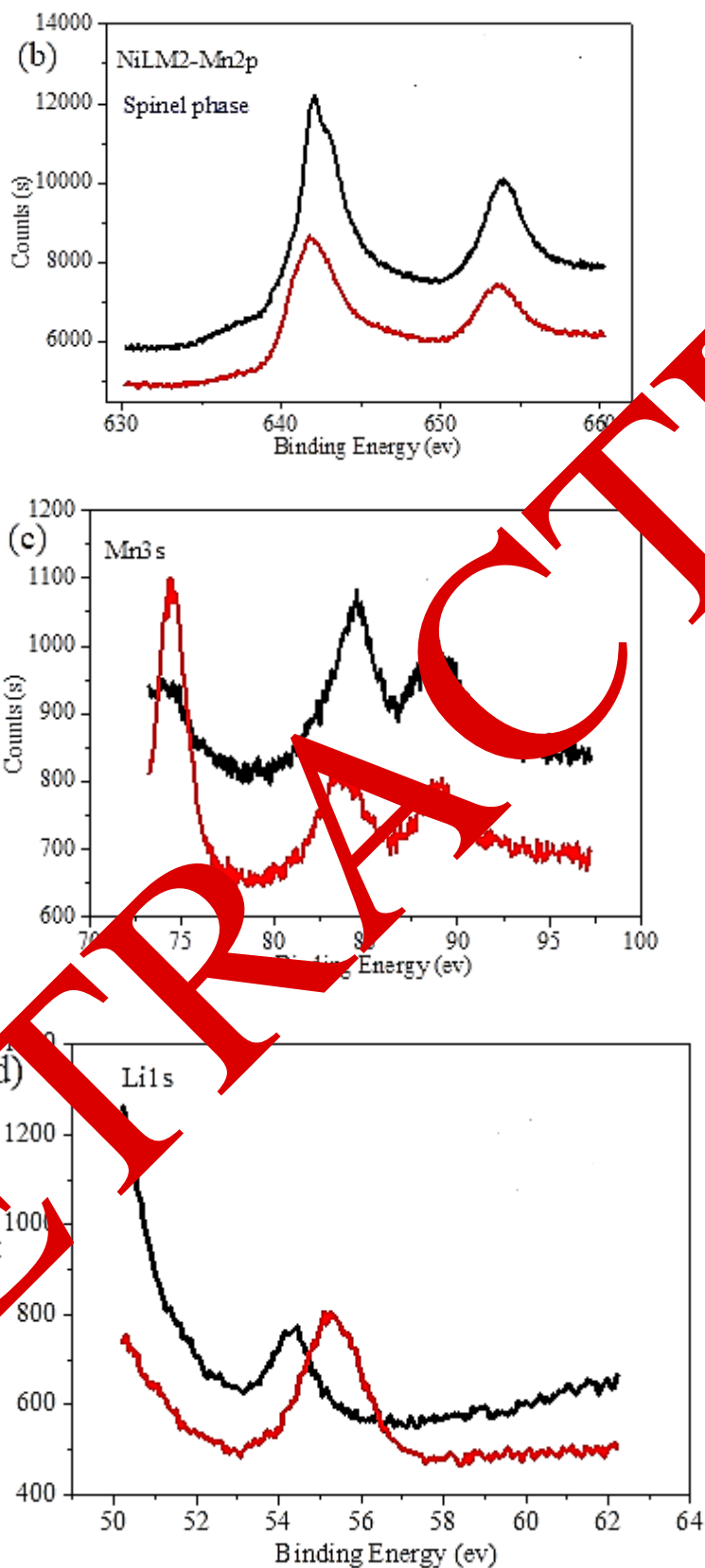


From the above phenomena, we can conclude that the  $\text{Li}_2\text{MnO}_3$  is lost in the circulation, and Ni ions are gradually migrating out of spinel phase  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$ . The results of XPS give some clues to the transformation of LNMO. Ni-Mn bond intensity in  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  is getting weaker in Figure 4b, which means Ni-Mn bonds are getting fewer and fewer due to migration of Ni ions. From Figure 2c, the binding energy around 73 eV represent for MnO obviously enhance, which can be due to the reduction of  $\text{Mn}^{3+}$  in spinel phase  $\text{LiMn}_2\text{O}_4$ . The binding energy around 54.5 eV indicates  $\text{Li}^+$  ions mainly exist in the Li-Mn layer of  $\text{Li}_2\text{MnO}_3$  at the initial state,  $\text{Li}^+$  ions in layered structure proportion increase after 5 cycles shown in Figure 4d, in which the binding energy was transferred to 55.5 eV. The result of the chemical state of oxygen confirms this. The binding energy of oxygen at 528.5 eV denotes that oxygen is in the tetrahedron, while in the octahedron is 531.5 eV. The oxygen is more in the tetrahedron of the spinel phase  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  and more in the octahedron of the layered structure  $\text{LiMO}_2$  after 5 cycles shown in Figure 4e. In combination with the change of chemical state of all elements, we have reason to believe that the Ni ions are migrating and the layered phase  $\text{LiMO}_2$  increases in the circulation process.

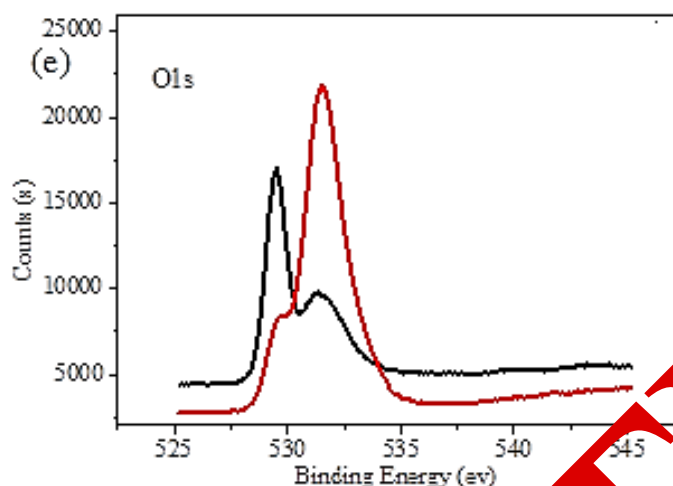
Figure 4. The XPS analysis of LNMO for (a)  $\text{Ni}2p$ , (b)  $\text{Ni} \text{ LM}_2\text{-MN}_2\text{P}$ , (c)  $\text{MN}_3\text{S}$ , (d)  $\text{Li}1s$ , (e)  $\text{O}1s$  before and after 5 cycles.

Note: As prepared  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  ( — ),  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  After 5 Cycles ( — ).









### The electrochemical properties of $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$ for LIBs

Attributed to the change of lithium de-intercalation mechanism in the first cycle, LNMO did not lose oxygen, and the structure remained relatively stable for a long time. Therefore, the electrochemical performance of LNMO is stable and excellent. The cycling and rate performance of LNMO are shown in Figure 5 (a, b). The capacity of LNMO maintain 300 mAh/g at the rate of 0.1 C (25 mA g<sup>-1</sup>) and 200 mAh g<sup>-1</sup> at the rate of 0.5 C after 200 cycles. In the process of the initial cycles, the capacity shows an uptrend, which is the influence of transformation from  $\text{Li}_2\text{MnO}_3$  to  $\text{LiMO}_2$ . After several cycles, the phase transition is completed. And the structure is relatively stable composed of layered  $\text{LiMO}_2$  and spinel  $\text{LiMn}_2\text{O}_4$  with no attenuation of the voltage platform and no decay of capacity. Figure 5b reveals good rate performance, from which the capacity of LNMO are 250, 220, 200, 150, 100, 50 mAh g<sup>-1</sup> at the rate of 0.1, 0.2, 0.5, 1, 5, 10 C, respectively. When the rate returns to 0.1 C, the capacity goes back to 250 mAh g<sup>-1</sup>.

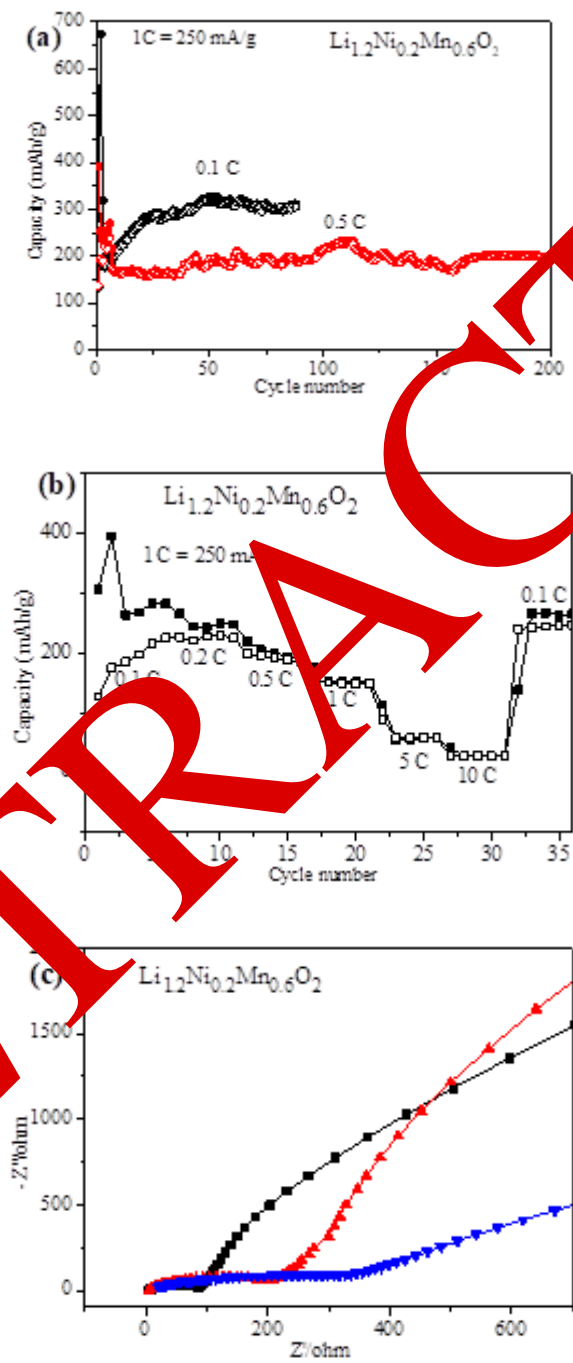
The Nyquist plots for LNMO derived from the EIS measurements without circulation and after 5<sup>th</sup>, 20<sup>th</sup> cycles are shown in Figure 5c. The spectra exhibit a semicircle at high-medium frequencies corresponding to the charge transfer resistance ( $R_{ct}$ ). It can be seen that rather different behavior in  $R_{ct}$  is observed. As shown here,  $R_{ct}$  increases from 86.4 to 200  $\Omega$ , 259.8  $\Omega$ , which means the surface electrode reaction is increasingly difficult as the circulation goes on. Inclined line in the low-frequency range is considered as the Warburg impedance, corresponding to the  $\text{Li}^+$  diffusion inside the electrode material [32]. The numerical value of the  $\text{Li}^+$  diffusion coefficient in the electrode can be estimated from the following equation [32].

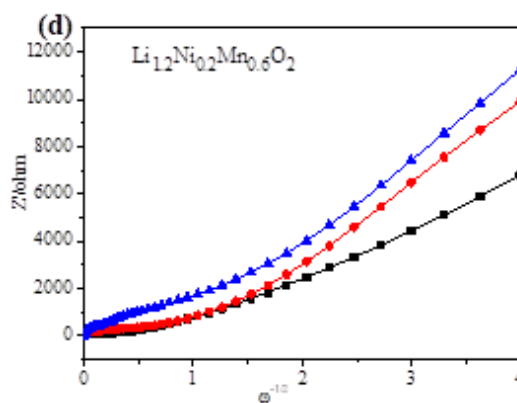
$$D_{\text{Li}^+} = 0.5 \left[ \frac{V_m}{FSA_w} \left( -\frac{dE}{dx} \right) \right]^2$$

Figure 5d shows the trend that the slope represents Warburg coefficients ( $A_w$ ) increases with circulation, which is because of the change of crystal structure. The Warburg coefficients ( $A_w$ ) of the cells with circulation and after 5, 20 cycles are 1454.9, 2040.3, 2375.9  $\Omega/\omega^{-1/2}$ , respectively. These results are consistent with the above electrochemical properties of LNMO.

**Figure5.** (a) The cycle performance of LNMO at the rate of 0.1C and 0.5C (b) The rate performance of LNMO (c) Electrochemical impedance spectra (EIS) of LNMO. (d) Linear fitting of Warburg impedance of LNMO. Note:

(a) Charge (—●—), Discharge (—○—), Charge (—●—), Discharge (—○—), (b) Charge (—●—), Discharge (—○—), (c) Without circulation (—■—), 5<sup>th</sup> (—▲—), 20<sup>th</sup> (—▼—), (d) Without circulation (—■—), 5<sup>th</sup> (—▲—), 20<sup>th</sup> (—▼—),





## CONCLUSION

In this work, the lithium-rich manganese-based cathode material LNMO was synthesized by hydrothermal-annealing method. X-ray diffraction measurements shows the synthesized LNMO was composed of  $\text{LiMO}_2$  and  $\text{Li}_2\text{MnO}_3$  with layered structure and  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  with spinel structure. In the initial cycling process of general lithium-rich manganese-based materials, due to the irreversible transformation of the layered structure of  $\text{Li}_2\text{MnO}_3$  into  $\text{MnO}_2$ ,  $\text{Li}^+$  and oxygen, a large amount of oxygen will escape, which will cause side reactions to lead to the deterioration of the electrolyte and the serious attenuation of battery capacity. CV curves of the as-prepared LNMO have no oxygen evolution peak and no oxygen appears *in situ* Differential Electrochemical Mass Spectrometry (DEMS) [50]. According to the first principle,  $\text{Li}^+$  ions in  $\text{Li}_2\text{MnO}_3$  de-intercalate then  $\text{Ni}^{2+}$  ions in  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  migrate into the sites when first charging, which avoids the oxygen loss from the collapse of  $\text{Li}_2\text{MnO}_3$ . The exist of spinel phase make the phase transition process of circulation stable, which contributed to the high cycling performance for lithium-ion battery (300 and 220  $\text{mAh g}^{-1}$  after 200<sup>th</sup> cycles at 0.1 and 0.5C-rate (1C= 250  $\text{mA g}^{-1}$ ).

## ACKNOWLEDGEMENTS

The authors acknowledge financial support from the Key Program of the Chinese Academy of Sciences (Grant No. KGZD-EW-T08).

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